

EXHIBIT 4

Plaintiffs' First Set of Requests
for Production to Defendant
Kevin Barnes

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19 Attorneys for Plaintiffs
20 ALLERGAN, INC. and ALLERGAN SALES, LLC
21 (Additional counsel listed on signature page)

22 **UNITED STATES DISTRICT COURT**
23 **FOR THE CENTRAL DISTRICT OF CALIFORNIA**
24 **SOUTHERN DIVISION**

25 ALLERGAN, INC., ALLERGAN
26 SALES, LLC,

27 Plaintiffs,

28 v.

FERRUM FERRO CAPITAL, LLC;
KEVIN BARNES,

Defendants.

Case No. SACV 15-00992 JAK (PLAx)

**PLAINTIFFS' FIRST SET OF
REQUESTS FOR PRODUCTION TO
DEFENDANT KEVIN BARNES
(NOS. 1-33)**

Judge: Hon. John A. Kronstadt

Pursuant to Federal Rule of Civil Procedure 34, Plaintiffs Allergan, Inc. and Allergan Sales, LLC (collectively, "Allergan"), hereby requests that Defendant Kevin Barnes ("Barnes") produce for inspection and copying all of the following Documents and Things that are in his possession, custody, or control. Production shall take place within thirty days of service of this set of requests, at the offices of Fish & Richardson P.C., 12390 El Camino Real, San Diego, CA 92130, or at such other times and places as counsel for the parties may agree. The following definitions and instructions apply.

DEFINITIONS & INSTRUCTIONS

1. "Barnes," "You," and "Your" means Kevin Barnes, Including any of his affiliated Entities, any Entity of which he is a member or corporate officer, director, or manager, and all others acting in cooperation with or on behalf of Barnes.

2. "FFC" means Ferrum Ferro Capital, LLC, Including all of its corporate locations, and all predecessors, successors, subsidiaries, parents, assigns and affiliated entities (Including sister corporations), all of their past and present directors, officers, employees, agents, representatives, consultants, attorneys, and others acting in cooperation with or on behalf of FFC.

3. "Allergan" means "Allergan, Inc. and Allergan Sales, LLC, collectively and individually, Including all of its directors, officers, agents, representatives, employees, consultants, predecessors, subsidiaries, and others acting in cooperation with or on behalf of Allergan.

4. "The '149 patent" means U.S. Patent No. 7,030,149.

5. "FDA" means the United States Federal Food and Drug Administration.

6. "IPR" means *inter partes* review as codified at 35 U.S.C. § 311.

7. "Document" and "Document and Things" incorporate the full meaning of Rule 34 of the Federal Rules of Civil Procedure, and Includes all tangible Things, all originals (or, if originals are not available, identical copies thereof), all non-identical copies of a document, all drafts of final documents, all other written, printed, or recorded matter of any kind, and all other data compilations from which information can

1 be obtained and translated if necessary, that are or have been in Defendant's actual or
2 constructive custody, possession, or control, regardless of the medium on which they are
3 produced, reproduced, or stored (Including computer programs and files containing any
4 requested information), and any recording or writing, as these terms are defined in Rule
5 1001, Federal Rules of Evidence, as well as any electronic documents Including
6 electronic mail. Any document bearing marks, Including initials, stamped initials,
7 comments, or notations not a part of the original text or photographic reproduction
8 thereof, constitutes a separate document.

9 8. "Entity" or "Entities" means any group, association, organization, firm,
10 corporation, joint venture, trust, or partnership, regardless of whether it is legally
11 recognized.

12 9. "Person" means any natural person or individual as well as any Entity and
13 its agents and employees.

14 10. "Relate," "Related to," "Relating to," or "Concerning" means constituting,
15 pertaining to, mentioning, commenting on, connected with, discussing, describing,
16 identifying, analyzing, explaining, showing, reflecting, dealing with, comprising,
17 consisting of, containing, resulting from, supporting or regarding a particular subject in
18 whole or in part, either directly or indirectly.

19 11. "Including" means including but not limited to.

20 12. "Communication" means any transmission of information, Including every
21 manner or means of statement, utterance, notation, disclaimer, transfer or exchange of
22 information of any nature whatsoever, by or to whomever, whether oral or written,
23 whether face-to-face or by telephone, mail, personal delivery or otherwise, and Including
24 letters, correspondence, conversations, memoranda, dialogue, discussions, meetings,
25 interviews, consultations, agreements and other understandings.

26 13. "Date" means and refers to the exact day, month and year, if ascertainable,
27 or if not, Your best approximation thereof.

28 14. The singular form of a word should be interpreted in the plural as well and

1 vice versa. Any pronoun shall be construed to refer to the masculine, feminine, or
2 neuter gender as in each case as most appropriate.

3 15. The words “and” and “or” shall be construed conjunctively or
4 disjunctively, whichever makes the request more inclusive. The terms “any” and “all”
5 should be given their most inclusive meaning.

6 16. The use of a verb in any tense shall be construed as the use of the verb in
7 all other tenses.

8 17. These requests shall apply to all Documents in Your possession, custody,
9 or control at the present time, or coming into Your possession, custody, or control. If
10 You know of the existence, past or present, of any Documents or Things requested
11 below, but are unable to produce such Documents or Things because they are not
12 presently in Your possession, custody or control, You shall so state and shall identify
13 such Documents or Things, and the Person who has possession, custody, or control of
14 the Documents or Things.

15 18. Each Document and Thing produced in response to these requests shall be
16 produced along with any and/or all attachments and/or enclosures as have ever been
17 attached to and/or enclosed with the Document or Thing at any time.

18 19. If no Documents are responsive to a particular request, state that no
19 responsive Documents exist.

20 20. If You cannot produce a Document in full, produce it to the fullest extent
21 possible, specifying clearly the reasons for the inability to produce the remainder and
22 stating whatever information, knowledge, or belief that You have concerning the portion
23 that is not produced.

24 21. If You cannot produce all of the requested Documents, describe in detail
25 every reason for any failure or inability to produce each of those requested Documents.

26 22. If You cannot locate Documents or Things responsive to these requests
27 after the exercise of due diligence, state in detail the particulars of the efforts that You
28 made to locate such Documents or Things and the reasons for their disappearance or

1 unavailability. If such Documents or Things exist but are unavailable to You, state to
2 the best of Your knowledge, where the Documents or Things are located, Including the
3 name, address, and telephone number of the custodian.

4 23. If any responsive Document was at one time in Your possession, custody,
5 or control, but is now lost, discarded, destroyed, or is otherwise no longer in Your
6 possession, custody, or control for any reason, then, with respect to each and every such
7 Document, please:

- 8 a) describe the nature of the Document;
- 9 b) state the Date of the Document;
- 10 c) identify the Person(s) who sent or received the original or any copy of
11 the Document, specifying its author, addressee, and all Persons to
12 whom copies of the Document were furnished;
- 13 d) state in as much detail as possible the subject matter and contents of
14 the Document;
- 15 e) state when the Document was in Your possession, custody, or
16 control;
- 17 f) state the last known location of the disposed Document and the last
18 known location of any alternative copies of any lost, discarded, or
19 destroyed Document;
- 20 g) state the identity and location of other Documents from which
21 information contained in the discarded or otherwise disposed of
22 Document may be obtained;
- 23 h) state the manner and Date of the disposition, loss, destruction, or
24 discarding of the Document;
- 25 i) state the reason for the disposition, loss, destruction, or discarding of
26 the Document; and
- 27 j) state the identity of all Persons who are likely to be responsible
28 therefor.

1 24. If You object to the production of any Document on the grounds that it is
2 protected from disclosure by the attorney-client privilege, work-product doctrine, or any
3 other privilege, You are requested to identify each Document for which the privilege is
4 claimed and give all information required by applicable case law, Including the following:

- 5 a) the name of the author, sender, or initiator of each copy of the
6 Document;
7 b) the name of the recipient, addressee, or party to whom any copy of
8 the Document was sent, or who received any copy of the Document;
9 c) the Date of each copy of the Document, if any, or an estimate of its
10 Date;
11 d) a statement of the basis for the claim of privilege; and
12 e) a description of the Document sufficient for the Court to rule on the
13 applicability and appropriateness of the claimed privilege.

14 25. If in answering these requests, You claim any ambiguity in either a request
15 or a definition or instruction applicable thereto, identify in Your response the language
16 You consider ambiguous and state the interpretation You are using in responding.

17 26. These requests are specifically intended to Include any and/or all
18 Documents and Things in Your possession, custody, or control or in the possession,
19 custody, or control of Your attorneys, accountants, bankers, brokers, employees, agents,
20 subsidiaries, affiliates, and representatives (Including the personal files of all the
21 aforementioned), wherever such Documents and Things are located, both within and
22 outside the United States.

23 27. These requests are submitted for the purpose of discovery and are not to
24 be taken as waiving any objections which may be made at trial to the introduction of
25 evidence on subjects covered by these requests or as an admission of the relevance of
26 materiality at trial of any of the matters covered by these requests.

27 28. You are directed to make a due and diligent search of Your records and are
28 directed to interview all of Your officers, directors, agents, and employees with a view

1 toward eliciting all information responsive hereto.

2 29. The Documents produced in response to these requests shall be either (i)
3 organized and designated to correspond to the categories in these requests, or (ii)
4 produced as they are maintained in the normal course of business, and in either case:

- 5 a) all Documents that cannot be legibly copied shall be produced in their
6 original form; otherwise, You may produce photocopies (but Plaintiff
7 reserves the right to inspect the originals); and
8 b) each page shall be given a discrete production number.

9 30. You shall keep and produce a record of the source of each Document
10 produced. This shall Include the name and location of the file where each Document
11 was located and the name of the Person, group or department having possession,
12 custody or control of each Document. Documents attached to each other must not be
13 separated.

14 31. The requests set forth herein shall be deemed continuing pursuant to Rule
15 26(e) of the Federal Rules of Civil Procedure so as to require supplemental production if
16 FFC discovers responsive Documents or Things after the Date of response hereto.

17 **REQUESTS FOR PRODUCTION**

18 **REQUEST FOR PRODUCTION NO. 1:**

19 All Your Documents Related to the formation of FFC, Including ownership
20 interest(s), capital contribution(s), total investment(s) in FFC, any other assets or
21 contributions to FFC's formation, bylaws, mission statement, or prospectus for
22 members of or investors in FFC, all annual financial statements Related to FFC, and all
23 Your tax returns that Include a reference to FFC.

24 **REQUEST FOR PRODUCTION NO. 2:**

25 All Your Documents Related to leasing or buying any buildings or office space for
26 FFC.

1 REQUEST FOR PRODUCTION NO. 3:

2 All Your Documents Related to any Entity that has filed, drafted, is in the process
3 of drafting, or has plans to draft any possible or actual IPR petition, Including FFC and
4 Hyacinth Sloop Capital, LLC.

5 REQUEST FOR PRODUCTION NO. 4:

6 All Communications between You and any other Person or Entity Related to a
7 possible or actual IPR petition.

8 REQUEST FOR PRODUCTION NO. 5:

9 All Communications written or received by You Regarding funding Related to
10 research, development, or marketing of a generic brimonidine tartrate/timolol maleate
11 ophthalmic solution.

12 REQUEST FOR PRODUCTION NO. 6:

13 All Your Documents Related to research, development, or marketing of a generic
14 brimonidine tartrate/timolol maleate ophthalmic solution.

15 REQUEST FOR PRODUCTION NO. 7:

16 All Your Documents Related to contracting a Person or Entity to research,
17 develop or market any component regulated by FDA, including a generic brimonidine
18 tartrate/timolol maleate ophthalmic solution.

19 REQUEST FOR PRODUCTION NO. 8:

20 All Your Documents and Communications Related to a generic brimonidine
21 tartrate/timolol maleate ophthalmic solution.

22 REQUEST FOR PRODUCTION NO. 9:

23 All Communications between You and the FDA regarding a generic brimonidine
24 tartrate/timolol maleate ophthalmic solution.

25 REQUEST FOR PRODUCTION NO. 10:

26 All Your Documents Related to contracting any Person or Entity to prepare,
27 submit, and/or prosecute an abbreviated new drug application (ANDA) before the
28 FDA.

1 REQUEST FOR PRODUCTION NO. 11:

2 All Your Documents Related to preparing to seek or seeking "FDA approval via a
3 Paragraph III ANDA filing to produce and market a generic brimonidine
4 tartrate/timolol maleate ophthalmic solution."

5 REQUEST FOR PRODUCTION NO. 12:

6 All Your Documents Related to any Communications with any "Contract
7 Manufacturing Partner" Concerning any component regulated by the FDA, including a
8 generic brimonidine tartrate/timolol maleate ophthalmic solution.

9 REQUEST FOR PRODUCTION NO. 13:

10 All Your Documents Regarding contracts or agreements with any "Contract
11 Manufacturing Partner" Concerning any component regulated by the FDA, including a
12 generic brimonidine tartrate/timolol maleate ophthalmic solution.

13 REQUEST FOR PRODUCTION NO. 14:

14 All Communications between You and any "Contract Manufacturing Partner"
15 Related to any compound regulated by the FDA, including a generic brimonidine
16 tartrate/timolol maleate ophthalmic solution.

17 REQUEST FOR PRODUCTION NO. 15:

18 All Your Documents and Communications Regarding the preparation of the
19 "proposed FDA filing" for "Combivious" that was included as part of FFC's March 9,
20 2015 letter to Allergan.

21 REQUEST FOR PRODUCTION NO. 16:

22 All Your Documents and Communications Regarding the preparation of the
23 March 9, 2015 IPR petition that FFC filed against the '149 patent.

24 REQUEST FOR PRODUCTION NO. 17:

25 All Your Documents Related to any contingent fee agreement with Russ August
26 & Kabat Related to the March 9, 2015 IPR petition filed against the '149 patent.

1 REQUEST FOR PRODUCTION NO. 18:

2 All Documents Related to any analysis performed by You, or any Person or
3 Entity You work with Regarding the validity or patentability of the '149 patent.

4 REQUEST FOR PRODUCTION NO. 19:

5 All Communications between You and any other Person or Entity Related to the
6 filing of the March 9, 2015 IPR petition against the '149 patent.

7 REQUEST FOR PRODUCTION NO. 20:

8 All Communications between You and Sandoz, Inc., Hi-Tech Pharmacal Co.,
9 Inc., Alcon Laboratories, Inc., Falcon Pharmaceuticals, Ltd., Apotex, Inc., Apotex,
10 Corporation, or Watson Laboratories, Inc., collectively or individually, Regarding the
11 preparation and/or filing of the March 9, 2015 IPR petition against the '149 patent.

12 REQUEST FOR PRODUCTION NO. 21:

13 All Communication between You and Sandoz, Inc., Hi-Tech Pharmacal Co., Inc.,
14 Alcon Laboratories, Inc., Falcon Pharmaceuticals, Ltd., Apotex, Inc., Apotex,
15 Corporation, or Watson Laboratories, Inc., collectively or individually, Regarding
16 "joining the fast-track challenge of the '149 patent" filed by FFC on March 9, 2015.

17 REQUEST FOR PRODUCTION NO. 22:

18 All Your Documents Related to any contract or agreement between You and
19 Sandoz, Inc., Hi-Tech Pharmacal Co., Inc., Alcon Laboratories, Inc., Falcon
20 Pharmaceuticals, Ltd., Apotex, Inc., Apotex, Corporation, or Watson Laboratories, Inc.,
21 collectively or individually.

22 REQUEST FOR PRODUCTION NO. 23:

23 All Your Documents Related to how the terms of the claims of the '149 patent
24 should be construed.

25 REQUEST FOR PRODUCTION NO. 24:

26 All Your Documents Related to any analysis performed to support the assertion
27 in FFC's March 9, 2015 letter to Allergan that "at a minimum, the IPR petition for the
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'149 patent presents a significant and terminal threat to Allergan's exclusive rights to distribute Combigan."

REQUEST FOR PRODUCTION NO. 25:

All Your Documents Related to any contracts or agreements Regarding how to distribute monies received in connection with any IPR petition.

REQUEST FOR PRODUCTION NO. 26:

All Your Documents describing how monies received by FFC from any Person or Entity in connection with any IPR petition are to be distributed.

REQUEST FOR PRODUCTION NO. 27:

All Your Documents, including business plans, forecasts, strategic plans, or prospectus Regarding how to generate revenues from IPR petitions.

REQUEST FOR PRODUCTION NO. 28:

All Documents Related to or that support Your statement on or about March 20, 2015, that there are "multiple pathways to monetization" of IPR petitions, including FFC's IPR petition against the '149 patent.

REQUEST FOR PRODUCTION NO. 29:

All Your Documents and Communications Related to any negotiation(s) to settle any IPR petition, regardless of whether filed or not, Including any IPR Petitions by or on behalf of FFC.

REQUEST FOR PRODUCTION NO. 30:

All Your Documents and Communications Related to any negotiation(s) to settle or dismiss any possible or actual IPR petition.

REQUEST FOR PRODUCTION NO. 31:

All Your Documents Related to any settlement(s) Concerning a possible or actual IPR petition, Including any IPR petition by or on behalf of FFC.

1 REQUEST FOR PRODUCTION NO. 32:

2 Your current *curriculum vitae*, Including any university and post-university
3 education, and all employments held during or after Your university and post-university
4 education.

5 REQUEST FOR PRODUCTION NO. 33:

6 All Your Documents and Communications Related to any possible or actual IPR
7 petition(s) Concerning any Allergan patent, Including any "other Combigan patents
8 listed in the FDA Orange Book," Including all draft or final IPR petition(s) and/or
9 supporting declaration(s).

10
11 Dated: July 17, 2015

FISH & RICHARDSON P.C.

By: /s/ Michael A. Amon

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8 Attorneys for Plaintiff Allergan, Inc., and
9 Allergan Sales, LLC
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CERTIFICATE OF SERVICE

The undersigned hereby certifies that a true and correct copy of the above and foregoing document has been served on July 17, 2015 to the following individuals via electronic mail and certified U.S. Mail.

Marc John Randazza (mjr@randazza.com)
Randazza Legal Group
3625 South Town Center Drive
Las Vegas, NV 89135
Tel: 702-420-2001

I declare under penalty of perjury that the foregoing is true and correct.
Executed this 17th day of July, 2015, at San Diego, California.

/s/ Michael A. Amon
Michael A. Amon